

# National Integrity Building Action Plan

## IV. Specific Actions

| Specific Strategy   | Enforcement Measure   | Performance Goal   | Executing Agency                     |
|---|---|--|--------------------------------------|
| 7. Strengthen corporate integrity and build an anti-corruption consensus in the private sector. | (1) In order to implement the corporate assessment mechanism, establish the Corporate Governance Center under which an advisory committee is set up, conduct corporate governance assessment on listed companies and, through the results of the corporate governance comparison of the market overall, help investors and corporations understand the effectiveness of governance of various listed companies. | Every year report assessment results of listed companies.  | The Financial Supervisory Commission |
|   | (2) To promote corporate social responsibility, strengthen the disclosure of information, supervise and ask listed companies to prepare corporate social responsibility reports, have corporations pay increasing attention to environmental, social and economic issues and enhance the communication with   | Every year report the number of companies that has prepared corporate social responsibility reports. | The Financial Supervisory Commission |

|  |  |   |   |
|--|--|---|---|
|  | <p>stakeholders, thereby helping corporations to reinforce their internal controls, codes of ethics and supply chain management mechanisms.</p>  |   |   |
|  | <p>(3) Incorporate market mechanisms, promote shareholder activism, encourage institutional investors to actively participate in company affairs, help companies understand shareholders' and stakeholders' opinions and use market influence to make companies value corporate governance and corporate social responsibility through the compilation of indices.</p> | <p>Compile sustainability indices or stipulate guidelines guiding institutional investors' participation in company affairs and continue to inspect their implementation.</p> | <p>The Financial Supervisory Commission</p> |
|  | <p>(4) By overseeing the substantial review of quarterly financial reports by listed companies and the inspection of internal control systems by the TWSE and TPEX, strengthen the supervision of listed companies' finances, operations and internal control systems and transfer suspected false financial reports or irregular trading</p>                          | <p>Every year report on the status of implementation.</p>   | <p>The Financial Supervisory Commission</p> |

|  |  |  |   |
|--|--|--|---|
|  | activities to judicial and prosecutorial agencies.   |  |   |
|  | (5) Invite state-invested enterprises to meetings, present special reports on the operation and administration of state-owned enterprises under supervision and establish the supervisory accountability mechanism regarding directors, supervisors, and managers appointed by the government. | Authorities in charge of the administration of government-owned shares convene meetings regularly.   | The Veterans Affairs Council, the Ministry of Economic Affairs, the Ministry of Transportation and Communications, and the Financial Supervisory Commission |
|  | (6) The agencies in charge of the administration of government-owned shares invite chairpersons or presidents of state-invested and state-owned enterprises to participate in integrity meetings, as well as to report on any operation irregularities in their respective corporations.       | Every year compiles statistics on the participation of government-appointed chairpersons or general managers in integrity meetings and report on the status of implementation. | The Veterans Affairs Council, the Ministry of Economic Affairs, the Ministry of Transportation and Communications, and the Financial Supervisory Commission |
|  | (7) Implement the AEO certification and Management Mechanism.  | Every year report on the status of implementation.   | The Ministry of Finance (Customs Administration)  |
|  | (8) Strengthen substantive interaction between government agencies   | 1. The Financial Supervisory Commission  | The Financial Supervisory Commission,   |

|  |   |   |  |
|--|---|---|--|
|  | <p>and enterprises to help enterprises understand the importance of improving integrity management and anti-corruption awareness, reputation, and practices, and promote enterprise-government agency collaboration to develop anti-corruption value.</p> | <p>advocates the establishment of corporate governance managers for listed companies with paid-in capital of less than NT\$2 billion.</p> <p>2. The Ministry of Economic Affairs and the Ministry of Justice (Agency Against Corruption) organize corporate integrity forums, promotion seminars, and chamber of commerce exchange meetings.</p> <p>3. The Ministry of Economic Affairs updates "The Handbook of Business Principles of Integrity for Small and Medium Enterprises (SMEs)" to promote the implementation of business ethics in SMEs. The manual and teaching materials are presented via e-books. The corporate integrity promotion forums are handled via enterprise sustainable management forums, administrative</p> | <p>the Ministry of Economic Affairs, and the Ministry of Justice (Investigation Bureau, Agency Against Corruption)</p> |
|--|---|---|--|

|  |  |  |  |
|--|--|--|--|
|  |  | <p>seminars for staff of SMEs service centers from various counties, business regulation promotion lectures for SMEs, etc.</p> <p>4. The Ministry of Justice (Investigation Bureau) strengthens the corporate corruption investigation propaganda.</p> |  |
|--|--|--|--|