

## Results of early warning work, reassurance of prevention of corruption and special audit programs in 2019:

### I. Further effort in early warning

Agency Against Corruption(AAC) has been leading all ethics offices in Taiwan, whose effort has been effectively exerted in the early warning of corruption for the prevention of possible administrative malpractice cases and for the reduction of the corruption risks. In 2019, there were 274 cases of early warning and the statistics of the results (2019) are shown in the table below.

Statistics on the Results of prevention of corruption

Item		Cases (amount)
Number of cases	Reported by the ethics offices	274
Treasury benefit	Savings for the national treasury	142 (NT\$ 348,420,073)
	Increased revenue	82 (NT\$ 108,218,118)
	Total	224 (NT\$ 456,638,191)
Action for reducing the corruption crime rate among civil servants	Correction of defects in the procurement process	151
	Amendment to the applicable laws and procedures	120

### II. Persistence in prevention of corruption

The preventing corruption mechanism was triggered after corruption and administrative malpractice cases were discovered in order to assist the related entities and agencies to mend the loopholes vulnerable to corruption. In 2019, there were 87 cases of prevention of corruption.

Statistics on the Results of Reassurance of Prevention of Corruption (2019)

	Item	Cases
Number of cases	Reported by the ethics offices	87
	Compilation special review report	87
	Proposal of preventive measures	481

III. Proper pursuit of risk assessment through special audits

- (I) AAC advocated for integrity risk assessment among ethics offices at all levels.

It built up the risk database, and took preliminary measures for reducing risks through the rotation of duties, supervision by the function chief and intensified evaluation of personnel exposed to integrity risks. In addition, special audits were conducted to keep track of the details pertinent to the risks for policy recommendations. The key audit matters for corrective action were tracked by the integrity report meeting to vitalize the control over integrity risks and the early warning mechanism.

- (II) In 2019, there were 3,980 cases of integrity risks subject to assessment by agencies at all levels, of which 597 cases were high risk (15.00 of the total), 1,526 cases were moderate risk (38.34% of the total) and 1,857 cases were low risk (46.66% of the total).

- (III) In 2019, the respective ethics offices handled 97 cases of special audits tracked by AAC. Timely correction measures were prescribed soon after the defects were detected in the audits. Recommendations for preventive actions were also prescribed as a reference for the agencies. The audit findings indicated 58 treasury benefit cases and uncovered 24 case of unjustified enrichment and 44 cases of liability

to administrative responsibilities by head count (including major demerit in 1 counts, demerit in 7 counts, reprimanding in 34 counts, and suspension from duty in 2 count). In addition, amendments to the applicable laws and operating procedures have also been conducted in 83 types.

Statistics on the Results of the Special Audits Tracked in 2019

Item		Cases
Cases Tracked		97
Treasury benefit	Savings for the national treasury	32 (NT\$ 218,048,774)
	Increased revenue	26 (NT\$ 60,995,706)
	Total	58 (NT\$ 279,044,480)
Action for reducing the corruption crime rate among civil servants	Discovery of suspected unjustified enrichment	24
	Tracing for administrative responsibility (by head count)	44
	Amendment to the applicable laws and operating procedures (types)	83